

HOW TO FACTOR OBJECTS?

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BASE CASES

INTEGERS

- ❖ Integer n factors *uniquely* into prime numbers.
 - Eg. $1092 = 2^2 \cdot 3 \cdot 7 \cdot 13$
- ❖ Given n , can you factor it?
 - Input n in **binary**
 - $2^{\{(\log n)^{0.3}\}}$ time not good enough
 - **Number Field Sieve (1990s)**
factors via $x^2 = y^2 \pmod n$
- ❖ **Hardness** used in cryptosystems.
 - RSA, HTTPS, SSh, SFTP, Diffie-Hellman, Banks, Whatsapp, ...

Carl Friedrich Gauss




The problem of distinguishing prime numbers from composite numbers and of resolving the latter into their prime factors is known to be one of the most important and useful in arithmetic.

AZ QUOTES

Prime Numbers

Eratosthenes' (ehr-uh-TAHS-thuh-neeZ) Sieve



• Eratosthenes was a Greek mathematician, astronomer, geographer, and librarian at Alexandria, Egypt in 200 B.C.
• He invented a method for finding prime numbers that is still used today.
• This method is called Eratosthenes' Sieve.

276 BC - 194 BC

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UNIVARIATE OVER INTEGERS

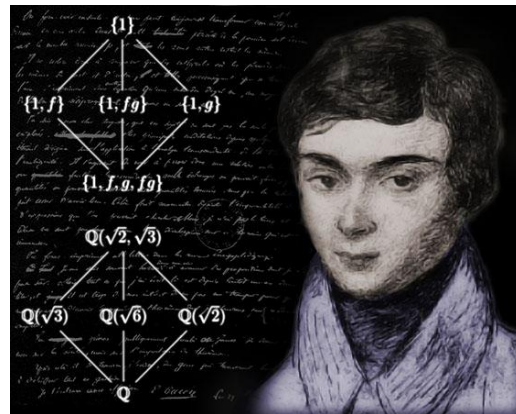
- ❖ Given **polynomial** $f(x) \in \mathbb{Z}[x]$, factor it.
 - $f = x^5 - x^4 - 4x^2 + x - 2$ factors
 - **Roots** have no formula
 - **Irreducibility** testing?

- ❖ [Lenstra, Lenstra, Lovász'82] solved this completely.
 - Factor **mod** $2, 2^2, 2^4, 2^8, \dots$
 - Lift to integral factor using **lattice** theory
 - Useful in many *post-quantum* cryptosystems



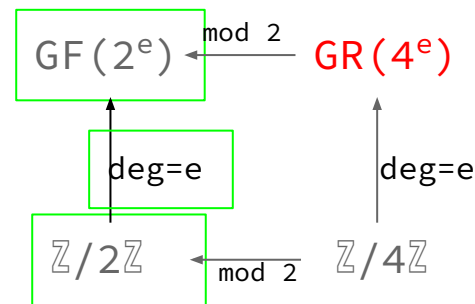
UNIVARIATE OVER FINITE FIELDS

- ❖ Galois field $\text{GF}(p^e)$ of size p^e and char = prime p .
- ❖ Given polynomial $f(x) \in \text{GF}(p)[x]$, factor it.
 - $f = x^2 - 2$ factors mod 7
 - $\sqrt{2} = 3 \pmod{7}$!
 - Irreducibility testing?
- ❖ [Berlekamp'67; Cantor-Zassenhaus'81] solved this practically.
 - Use Galois automorphism
 - Compute gcd of $f(x)$ with $x^p - x$, $x^{p^2} - x$, $x^{p^3} - x, \dots$
 - Useful in crypto, coding theory, computational algebra, arithmetic-geometry, ...



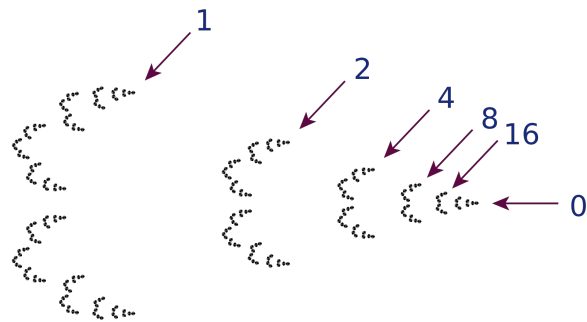
UNIVARIATE OVER GALOIS RINGS

- ❖ **Galois** ring $\text{GR}(p^{ke})$ of size p^{ke} and characteristic = prime-power p^k .
- ❖ Given **polynomial** $f(x) \in \text{GR}(p^{ke})[x]$, factor it.
 - $f = x^2 - 2$ factors mod 7^2
 - $\sqrt{2} = 10 \pmod{7^2}$!
 - **Irreducibility** testing?
- ❖ This problem is **OPEN**.
- ❖ [Dwivedi, Mittal, S.'19] solved for **k=4**.
 - Factor $f(x) \pmod{p}$, **p^2** , p^3 , p^4 .
 - Lifting from one to the next precision is *nontrivial*.
 - Eg. $f = x^2 - p \pmod{p^2}$ **vs** $f = x^2 - px \pmod{p^2}$



UNIVARIATE OVER P-ADIC NUMBERS

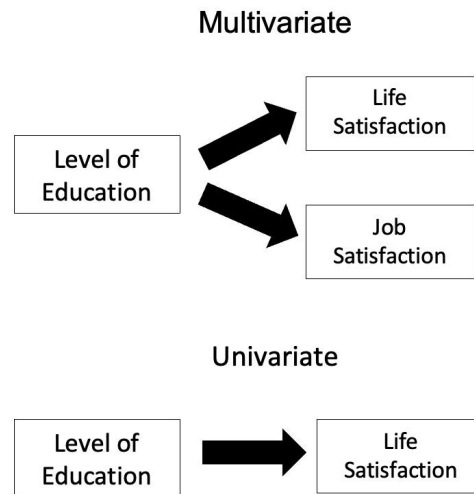
- ❖ Hensel (1897) defined **p-adic** numbers \mathbb{Z}_p .
 - $1+2p+3p^2+4p^3+5p^4+\dots$ converges to a **number**!
- ❖ Given **polynomial** $f(x) \in \mathbb{Z}_p[x]$, factor it.
 - $f = x^2 - 2$ factors in 7-adic
 - $\sqrt{2} = 3 + 1 \cdot 7 + 2 \cdot 7^2 + 6 \cdot 7^3 + \dots$ in **infinite** digits!
 - **Irreducibility** testing?
- ❖ [Chistov'90; Cantor, Gordon'00] solved it efficiently.
 - **Newton polytope** of $f(x)$,
 - coupled with p-adic **metric**,
 - reduces to mod p factoring.
 - Useful in computational number theory.



MULTIVARIATES

MULTIVARIATE SPARSE POLYNOMIALS

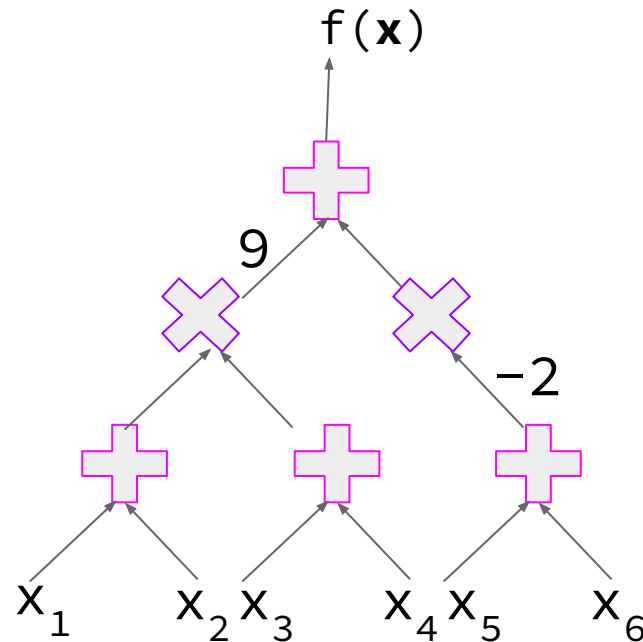
- ❖ Given **polynomial** $f(x_1, x_2, \dots, x_n) \in F[\mathbf{x}]$, factor it.
 - $f = (x_1^{d-1} - 1) \dots (x_n^{d-1} - 1)$ factors into
 - $g = (x_1^{d-1} + \dots + x_1 + 1) \dots (x_n^{d-1} + \dots + x_n + 1)$.
 - Sparsity $s := 2^n$ blows-up to d^n .
 - \Rightarrow Factors can be very **large**!
- ❖ What if individual-degree d is **constant**?
- ❖ [Bhargava, Saraf, Volkovich'18] showed a **quasipoly** bound.
- ❖ [Bisht, S.'22] showed a **poly** bound for *symmetric* factors.
 - **Newton polytope** of $f(\mathbf{x})$
 - Relation between #vertices & #internal points.
 - Fast algorithm, by reducing to the base cases



IN FORMULA MODEL

- ❖ Given **polynomial** $f(x_1, x_2, \dots, x_n) \in F[\mathbf{x}]$, factor it.
 - Input: is a **formula of size** s .
 - Output: is a formula of size $=?$
- ❖ Only **quasipoly** bound known till 2024.
- ❖ [BKRRSS'25] prove **Poly** bound in 2025.

- ❖ **Idea:** Use an expression for the roots given by **Lagrange Inversion formula** of analytic functions.

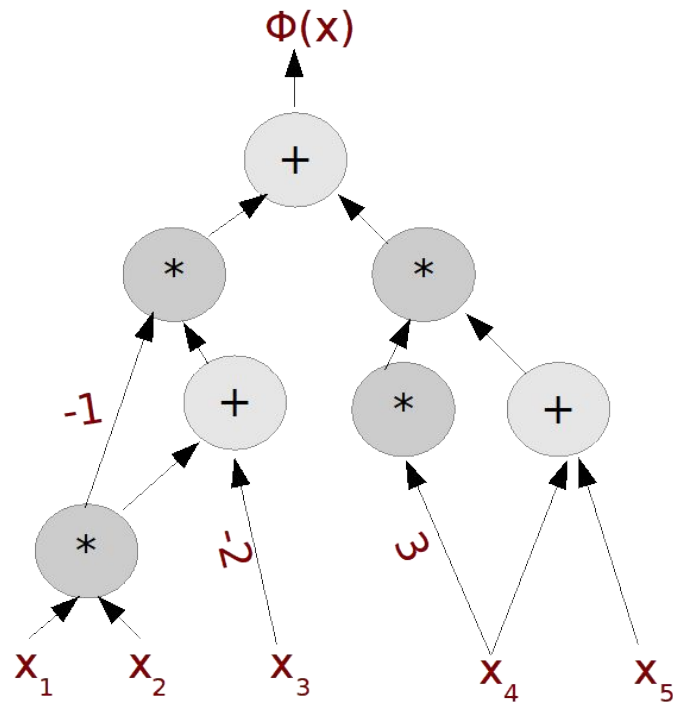


Lagrange Inversion Theorem.

If a GF $g(z) = \sum_{n \geq 1} g_n z^n$ satisfies the equation $z = f(g(z))$ with $f(0) = 0$ and $f'(0) \neq 0$ then $g_n = \frac{1}{n} [u^{n-1}] \left(\frac{u}{f(u)} \right)^n$.

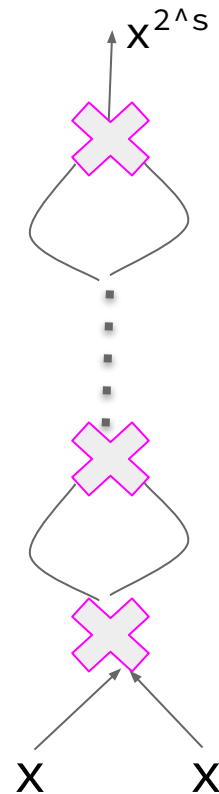
IN CIRCUIT MODEL

- ❖ Given polynomial $f(x_1, x_2, \dots, x_n) \in F[\mathbf{x}]$, factor it.
 - Input: is a circuit of size s .
 - Output: is a circuit of size $=?$
- ❖ [Kaltofen'87] showed a poly bound.
 - degree not too 'high'
- ❖ **Idea:** Same as formula.
 - Works with constant-depth circuits!



IN A 'TOUGHER' CIRCUIT MODEL

- ❖ Given **polynomial** $f(x_1, x_2, \dots, x_n) \in F[\mathbf{x}]$, factor it.
 - Input: is a **circuit of size** s and degree 2^s .
 - Output: a factor of degree **poly(s)** of size $=?$
- ❖ It's an **open** question.
- ❖ [Dutta, S., Sinhababu'18] showed a **poly** bound, when
 - degree of the **radical** of f is not too 'high'.
- ❖ **Idea:** all-roots-**Newton-iteration** is doable inside circuits.



CONCLUDE WITH OPEN PROBLEMS

- ❖ **Question 1:** Fast integer factoring?
- ❖ Question 2: Fast polynomial factoring mod p^k ?
- ❖ **Question 3:** General circuit factoring?
- ❖ Question 4: Derandomization?

open questions

THANKS!

Survey @ www.cse.iitk.ac.in/users/nitin/