Counting Basic-Irreducible Factors Mod  $p^k$  in Deterministic Poly-Time and *p*-Adic Applications

Ashish Dwivedi

IIT Kanpur, India

Joint work with

Rajat Mittal (IIT Kanpur, India) and Nitin Saxena (IIT Kanpur, India)

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# Overview

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- 2 The Problem
- 3 Randomized Algorithm
- 4 Challenges in Derandomization
- 5 A Deterministic Algorithm
- Conclusion and Open Questions

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What about factoring modulo a composite *n*? (given prime factors of *n*) It reduces to factoring modulo a prime power  $p^k$ . (Chinese Remaindering)

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The lifting goes on same way for any power  $3^k$ .

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Due to this, the search space could be exponential at every stage of lifting! It becomes non-trivial to find or even count all the factors.

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 $f = (x + 351) (x + 135) (x^2 + 243x + 249) \mod 3^6.$ 

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Counting roots is stronger than just showing the existence of a root. Extension to count irreducible factors will give an irreducibility criteria.

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Our result extends to count exactly the basic-irreducible factors of  $f \mod p^k$  as well.

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We give a simple exposition of  $[BLQ \ 13]$  which helps understand our deterministic algorithm.

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**Idea**: Find each  $r_i$  one by one using the CZ algorithm to incrementally build up the lifts of  $r_0$  with higher and higher precision leading up to r.
If  $p^{\alpha}|f(x) \mod p^k$  then any root  $r = r_0 + pr_1 + \ldots + p^{k-1}r_{k-1}$  is independent of  $r_{k-\alpha}$  and beyond.

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In other words,

 $r = r_0 + pr_1 + \ldots + p^{k-\alpha-1}r_{k-\alpha-1} + p^{k-\alpha} * + \ldots + p^{k-1}*,$ where \* denotes everything in  $\mathbb{F}_p$ .

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In short, we write

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The randomized algorithm will return **all** the roots in representative format most deg(f) many!

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Repeat the Shift-Divide cycle on  $g(x) \mod p^{k-\alpha}$  to get corresponding  $r_1$ s and so on.

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Always  $\alpha \geq 1$ , so the process stops in at most k iterations.

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$$r_{0,1} + pr_{1,0} + p^2 *, r_{0,2} + pr_{1,1} + p^2 *, r_{0,2} + pr_{1,2} + p^2 r_{2,0} + p^3 *,$$

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**Partitioning the root-set**: A path from root to a leaf denotes a representative-root of f. The tree has at most d leaves.

Ashish Dwivedi (IIT Kanpur)

Root counting modulo prime powers

The time taken could be very high?  $\deg(f)^k$  many roots in the end? The algorithm forms a virtual tree of roots:



Claim: The degree of a node distributes to its children.

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#### **Multiplicity Property:**

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**Multiplicity Property**: Let  $r_0$  be a root of multiplicity m of  $f(x) \mod p$  then the degree of children corresponding to  $r_0$  is at most m.

Ashish Dwivedi (IIT Kanpur)

Root counting modulo prime powers

The time taken could be very high?  $\deg(f)^k$  many roots in the end? The algorithm forms a virtual tree of roots:



So, the size of tree is polynomial in input size and the algorithm runs in randomized  $poly(deg(f), k \log p)$  time.

Ashish Dwivedi (IIT Kanpur)

Root counting modulo prime powers

# Overview

#### Introduction

#### 2 The Problem

- 3 Randomized Algorithm
- 4 Challenges in Derandomization
  - 5 A Deterministic Algorithm
  - 6 Conclusion and Open Questions

Can we still cluster (may be implicitly) the roots of  $f \mod p^k$  into  $\deg(f)$  many clusters, deterministically? (CZ is not available)

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Can we generalize the multiplicity argument of [BLQ'13] in the deterministic context?

Can we extend the techniques to count basic-irreducible factors  $f \mod p^k$ ?

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We give the first deterministic  $poly(d, k \log p)$  time algorithm to count the roots. A complete derandomization.

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Needs a different perspective.

A shift  $g(x) \mapsto g(a + px)$  is equivalent to  $g(x_0 + px) \mod \langle x_0 - a \rangle$ .

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 $g(a + px) \mapsto g(a + pb + p^2x) \Leftrightarrow g(x_0 + px_1 + p^2x) \bmod \langle x_0 - a, x_1 - b \rangle.$ 

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Similarly,

 $g(a + px) \mapsto g(a + pb + p^2x) \Leftrightarrow g(x_0 + px_1 + p^2x) \mod \langle x_0 - a, x_1 - b \rangle.$ 

So we consider the representation-  $x \rightarrow x_0 + px_1 + \ldots + p^{k-1}x_{k-1}$ .

#### Deterministic Algorithm: Tool 2

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h(x) implicitly stores all the roots of g. The degree of h gives count!

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In the end, all ideals implicitly store all the roots of  $f \mod p^k$ .

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Consider a Node N labelled by split ideal I.

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Similar to the randomized root tree, the size of the deterministic root tree is polynomial in input size.

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## Conclusion

Our algorithm extends to exactly count basic irreducible factors of  $f \mod p^k$ .

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Questions?

Thank You for your attention!